<table>
<thead>
<tr>
<th>Protected Health Information (Identifiable Data)</th>
<th>Limited Data Set</th>
<th>De-identified Data Set</th>
</tr>
</thead>
<tbody>
<tr>
<td>Protected health information (PHI) includes all individually identifiable health information relating to the past, present or future health status, provision of health care, or payment for health care of/for an individual that is created or received by a Covered Entity or Business Associate.</td>
<td>A limited data set is a data set that is stripped of certain direct identifiers specified in the Privacy Rule. A limited data set may be disclosed to an outside party without a patient’s authorization only if certain conditions are met. First, the purpose of the disclosure must be for research, public health, or health care operations purposes. Second, the person or entity receiving the information must sign a data use agreement (DUA) with the covered entity or its business associate. <strong>Limited Data Sets may include only the following identifiers:</strong> - Names - Dates, such as admission, discharge, service, and date of birth (DOB) - City, state, and zip code (not street address) - Any other unique code or identifier that is not listed as a direct identifier. This means that in order for a data set to be considered a limited data set, all of the following direct identifiers as they relate to the individual or his/her relatives, employers, or household members must be removed: - Names - Street addresses (other than town, city, state, and zip code) - Telephone and fax numbers - Email addresses - Social security numbers - Medical record numbers - Health plan beneficiary numbers - Account numbers - Certificate/driver’s license numbers - Vehicle identifiers and serial numbers, including license plate numbers - Device identifiers and serial numbers - Web Universal Resource Locators (URL) - Internet Protocol (IP) addresses - Biometric identifiers (including finger and voice prints) - Full face photographic images and any comparable images.</td>
<td>The Privacy Rule permits a covered entity or its business associate to release data that have been de-identified without obtaining an Authorization and without further restrictions upon use or disclosure because de-identified data is not PHI and, therefore, not subject to the Privacy Rule. <strong>A Data Use Agreement (DUA) is required to be entered into between a Covered Entity and/or Business Associate and any downstream Subcontractor(s) or third-party that will receive a Limited Data Set prior to use or disclosure of the Limited Data Set.</strong></td>
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<tr>
<td>Health information is individually identifiable if it contains any of the following identifiers: - Names - Geographic subdivisions smaller than a state - Dates (except year only) directly related to an individual, including birth date, date of death, admission date, discharge date; and all ages over 89 (except ages may be aggregated into a single category of age 90 or older) - Telephone and faxes numbers - Email addresses - Social security numbers (SSN) - medical record numbers (MRN) - Health plan beneficiary numbers - Account numbers - Certificate/driver’s license numbers - Vehicle identifiers and serial numbers, including license plate numbers - Device identifiers and serial numbers - Web Universal Resource Locators (URL) - Internet Protocol (IP) addresses - Biometric identifiers (including finger and voice prints) - Full face photographic images and any comparable images. - Any other unique identifying number, characteristic, or code.</td>
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<tr>
<td><em>A Business Associate Agreement (BAA) is required to be entered into between a Covered Entity and/or Business Associate and any downstream Subcontractor(s) that create, maintain, receive, access or store PHI on behalf of a Covered Entity/Business Associate prior to use or disclosure of any PHI.</em></td>
<td><em>A Data Use Agreement (DUA) is required to be entered into between a Covered Entity and/or Business Associate and any downstream Subcontractor(s) or third-party that will receive a Limited Data Set prior to use or disclosure of the Limited Data Set.</em></td>
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</tbody>
</table>

**For questions or for more information, please contact Healthix Research Exchange:** research@healthix.org

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**HIPAA Privacy Rule De-Identification Methods**

**Expert Determination**

- § 164.534(b)(2)
- Apply statistical or scientific principles
- Determination
- Very small risk that anticipated recipient could identify individual
- No actual knowledge that actual individual could identify individual

**Safe Harbor**

- § 164.534(b)(2)
- Removal of 18 types of identifiers
- Very small risk that anticipated recipient could identify individual
- No actual knowledge that actual individual could identify individual

*Figure from OCR guidance available here: [https://www.hhs.gov/hipaa/for-professionals/privacy/special-topics/de-identification/index.html](https://www.hhs.gov/hipaa/for-professionals/privacy/special-topics/de-identification/index.html)