

Appendix A

Authorized Users – Role Based Access Standards

Category	Access Authority	Role	Types of User (e.g.)
Practitioner, Authorized User under direction of Practitioner, Advanced Medical Technician with temporary rights to access PHI – Break Glass	<ul style="list-style-type: none"> • Break the Glass Authority • All clinical information and functionality (and any non-clinical information) 	<ul style="list-style-type: none"> • Physician – Commonly engaged in providing emergency care 	<ul style="list-style-type: none"> • MD who routinely provides care that could require “Break the Glass” emergency access to RHIO data
		<ul style="list-style-type: none"> • Resident 	<ul style="list-style-type: none"> • Resident • Fellow
		<ul style="list-style-type: none"> • Physician Assistant Commonly engaged in providing emergency care 	<ul style="list-style-type: none"> • Physician Assistant who routinely provides care that could require “Break the Glass” emergency access to RHIO data
		<ul style="list-style-type: none"> • Nurse Practitioner Commonly engaged in emergency care 	<ul style="list-style-type: none"> • Nurse Practitioner who routinely provides care that could require “Break the Glass” emergency access to RHIO data
		<ul style="list-style-type: none"> • Nurse Midwife 	<ul style="list-style-type: none"> • Nurse Midwife
		<ul style="list-style-type: none"> • <input type="checkbox"/> ED Nurse 	<ul style="list-style-type: none"> • ED Nurse (RN)
		<ul style="list-style-type: none"> • <input type="checkbox"/> Emergency Medical Provider (EMTs) 	<ul style="list-style-type: none"> • EMS
Practitioner – No Break Glass	<ul style="list-style-type: none"> • All clinical information and functionality (and any non-clinical information) 	<ul style="list-style-type: none"> • Physician - Not commonly engaged in providing emergency care 	<ul style="list-style-type: none"> • MD who does NOT routinely provide emergency care (this may apply to private practice physicians)
	<ul style="list-style-type: none"> <input type="checkbox"/> 	<ul style="list-style-type: none"> • Physician Assistant Not commonly engaged in providing emergency care 	<ul style="list-style-type: none"> • PA who does NOT routinely provide emergency care (this may apply to practice Physician Assistants)
	<ul style="list-style-type: none"> <input type="checkbox"/> 	<ul style="list-style-type: none"> • Nurse Practitioner Not commonly engaged in providing emergency care 	<ul style="list-style-type: none"> • NP who does NOT routinely provide emergency care (this may apply to private)

Category	Access Authority	Role	Types of User (e.g.)
			practice Nurse Practitioners)
	<input type="checkbox"/>	<ul style="list-style-type: none"> Nurse 	<ul style="list-style-type: none"> Nurse (RN) LPN
		<ul style="list-style-type: none"> Therapists (licensed) 	<ul style="list-style-type: none"> Respiratory Therapists Rehabilitation Therapists
		<ul style="list-style-type: none"> Pharmacists 	<ul style="list-style-type: none"> Pharmacists
		<ul style="list-style-type: none"> Psychologist 	<ul style="list-style-type: none"> Psychologist
		<ul style="list-style-type: none"> Nutritionist 	<ul style="list-style-type: none"> Nutritionist Dietician
		<ul style="list-style-type: none"> Care/Case Managers (licensed) 	<ul style="list-style-type: none"> Care Manager Social Worker (clinical)
Non-Practitioner – Clinical Information (and any non-clinical information)	<ul style="list-style-type: none"> All clinical information and functionality (and any non-clinical information) 	<ul style="list-style-type: none"> Stakeholder Administration Care/Case Managers (unlicensed) Therapists (unlicensed) 	<ul style="list-style-type: none"> Clinician Office Staff Quality Assurance Intake Planner Discharge Planner Social Worker Assistant Care Navigator
	<ul style="list-style-type: none"> Limited clinical information, including Demographics and Advanced Directives and any non-clinical information) 	<ul style="list-style-type: none"> Patient Representative 	<ul style="list-style-type: none"> Patient Representative Patient Advocate Patient Navigator Ombudsman
Non-Practitioner – No Clinical Information	<ul style="list-style-type: none"> NA 		
RHIO Admin – Clinical Information (and any non-clinical information)	<ul style="list-style-type: none"> Break the Glass Authority All clinical information and functionality (and any non-clinical information) Audit logs and report 	<ul style="list-style-type: none"> RHIO Administrative Staff – Data Rights 	<ul style="list-style-type: none"> RHIO Administrative Staff (specifically identified)
RHIO Admin – No Clinical Information	<ul style="list-style-type: none"> Audit logs and report 	<ul style="list-style-type: none"> RHIO Administrative Staff 	<ul style="list-style-type: none"> RHIO Administrative Staff

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RHIO Admin – Clinical Information for Public Health Reporting or other authorized activities	<ul style="list-style-type: none"> • All clinical information and functionality • Audit logs and report 	<ul style="list-style-type: none"> • RHIO Administrative Staff 	<ul style="list-style-type: none"> • RHIO Administrative Staff
RHIO or Participant Admin –Clinical Information (and any non-clinical information)	<ul style="list-style-type: none"> • All clinical information and functionality (and any non-clinical information) • Audit logs and report 	<ul style="list-style-type: none"> • RHIO Administrative Staff • Participant Administrative Staff 	<ul style="list-style-type: none"> • RHIO Administrative Staff • Participant Administrative Staff
Tester (confidentiality agreement necessary)	<ul style="list-style-type: none"> • Own clinical information 	<ul style="list-style-type: none"> • Stakeholder Technical/QA Staff 	<ul style="list-style-type: none"> • Stakeholder Staff (specifically identified)
Public Health	<ul style="list-style-type: none"> • All Demographic and Clinical Data except 42 CFR Part 2 	<ul style="list-style-type: none"> • Public Health Agency 	<ul style="list-style-type: none"> • Public Health Agency Staff
Death Investigator	<ul style="list-style-type: none"> • All Demographic and Clinical data except OMH and OPWDD 	<ul style="list-style-type: none"> • Coroner/Medical Examiner 	<ul style="list-style-type: none"> • Coroner/Medical Examiner