



Healthix

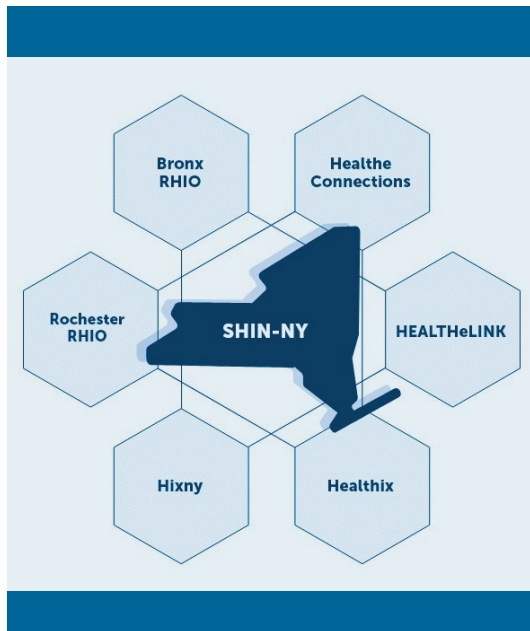
EXCHANGING INFORMATION
TO TRANSFORM PATIENT CARE



SHIN-NY and
Healthix Public
Health Training

2022

State Health Information Network of New York (SHIN-NY)



The SHIN-NY connects 6 public HIEs, forming a regional health information network that stores and shares patient health information.

Each HIE aggregates data submitted from their Participants to create a comprehensive medical record for each patient.



Sources Of Data

- Hospitals & Health Systems
- Physician & Ambulatory Practices
- Long Term, Post Acute & Home Care
- Diagnostic Treatment Center
- Federally Qualified Health Centers
- Behavior Health Organizations
- Community Based Organizations
- IPAs, ACOs, Health Plans
- And More...



Types Of Data

- Demographics and Encounters
- Problem Lists, Diagnosis, Procedures
- Medications, Allergies
- Lab Tests, Reports, Results
- Radiology Reports & Images
- Discharge Summary, Care Plans
- Claims Data (health plans, Medicaid) Pharmacy Fill Data
- 42CFR Part 2 Data and More



Uses Of Data

- Fuller picture of patient's data
- Access to data in an emergency
- Managing chronic conditions
- Facilitating transition of care
- Supporting value-based care
- Public Health uses: HIV/AIDs care, Emergency search, health emergencies, organ transplants, opioid prescribing, homelessness Identification and more

New York State SHIN-NY policy in Section 1: Consent allows for access to the data aggregated by all public HIEs for a number of public health related purposes.

In these instances, authorized users can access Healthix data, on behalf of one of the public health agencies, without collecting patient consent.

Even if the patient denies access to one or all Healthix participants the access for public health agencies is not affected by that denial.

**1
PUBLIC
HEALTH
SURVEILLANCE**

**2
DISASTER
MANAGEMENT**

**3
ORGAN
PROCUREMENT**

**4
CAUSE OF
DEATH
INVESTIGATION**

For more information, please visit [healthix.org](https://healthix.org/who-we-are/privacy-and-security-2/) for policy and procedures at <https://healthix.org/who-we-are/privacy-and-security-2/>

Public Health User Attestation

Public health users with use cases previously reviewed where patient consent is unnecessary, must attest to the purpose for data use before they can access patient records.

Note: Users accessing data to investigate “Cause of death” from the NYC OCME office will need to choose that option first and then will be required to enter the specific related investigation case#.

The screenshot shows the Healthix PORTAL interface. At the top, there is a navigation bar with the Healthix logo and the word "PORTAL". On the right, it says "Welcome, MM2159" and "Sign Out". Below the navigation bar are several menu items: HOME, PATIENT RECORD, USER ACCESS AUDIT, CLINICAL MESSAGE CENTER, CONSENT AUDIT, and ONE-TO-ONE EXCHANGE ADMIN TOOL. The main content area is divided into two search sections: MRN SEARCH and DEMOGRAPHIC SEARCH. The MRN SEARCH section has a "Facility" dropdown menu set to "Healthix - HEALTHIX" and a "Registration ID / MRN" input field. The DEMOGRAPHIC SEARCH section has a "Last Name" input field, a "First Name" input field, a "Date of Birth" input field (format MM/DD/YYYY), a "Zip Code" input field, a "Phone Number" input field (format XXX-XXX-XXXX), and an "SSN" input field (format XXX-XX-XXXX). Below the search sections is a "Public Health Patient Search and View" section. It contains a paragraph: "By clicking a choice below, the Authorized User associated with a Public Health Agency asserts and certifies that he/she is seeking to access data through Healthix for the following lawful Public Health Purpose." Below this paragraph is a "Please select one:" label and a dropdown menu. A red arrow points from the text in the note to the dropdown menu. The dropdown menu is open, showing a list of options: Reportable Disease Investigation, Epidemiological Investigation, Surveillance, Activation for a Public Health Emergency, Other Lawful use approved by SHIN-NY and Healthix, Cause of Death Investigation, Facilitation of Organ or Tissue Donation, and Healthix Compliance Activities (Healthix Staff Only).

Healthix Privacy and Security Rules

- As a Healthix participant you must comply with all safeguards set forth in the HIPAA privacy and security rules.
- Access to all information on the Healthix platform is closely monitored.
- If you need additional information: compliance@healthix.org



Public Health User Access - Monitoring

- Healthix records all access by authorized users.
- Specific reports are generated for public health agencies on a regular basis and are submitted to “supervisors” for review and validation.
- Any inappropriate access will be subject to sanctions.



Samples of Inappropriate Access:

- Accessing Healthix to look up your own data.
- Accessing Healthix to look up information on a family member or friend and not related to your job function.
- Accessing data on ANY individual not related to your job or function.
- Accessing data for purposes not approved by the SHIN-NY or Healthix policy.

NOTE: Never share your login credentials with anyone. You will be responsible for any access that is executed under your personal log in ID and password.

The facility is responsible for ensuring this documentation is available during an audit by Healthix.

Did You Know?

A "breach" is an impermissible use or disclosure under the Privacy Rule that compromises the security or privacy of Protected Health Information (PHI).

Participants shall notify Healthix without delay, in the event that they become aware of or suspect a Breach involving PHI accessed or transmitted via Healthix.

As a Healthix Participant you must comply with all of the safeguards set forth in the HIPAA Privacy and Security rules.



Did You Know?

A "breach" is an impermissible use or disclosure under the Privacy Rule that compromises the security or privacy of Protected Health Information (PHI).

Access to all information on the Healthix platform is closely monitored.

Healthix will cooperate with our participants to establish proper corrective actions, sanctions and notifications including state and federal agencies as per Healthix Privacy Policy Section 7.



Did You Know?

A "breach" is an impermissible use or disclosure under the Privacy Rule that compromises the security or privacy of Protected Health Information (PHI).



Sanctions will be based on progressive corrective action and will take into consideration the type of violation and other key factors such as:

Considerations when applying sanctions

- ➔ Non-Intentional/ Minor Violations.
- ➔ Intentional, Egregious or Substantial Violations.

Basis for sanctions

- ➔ Number of Violations
- ➔ Culpability
- ➔ Criminal Indicators
- ➔ Harm

Sanctions for Inappropriate Access – Progressive Corrective Action

In accordance with the New York State and Healthix policies, accesses which are investigated and assessed as not meeting the conditions warranting the access of any treatment, quality assurance, care management, insurance verification or any applicable exceptions will be subject to Progressive Corrective Action.

The type of sanction will depend on whether the user's access constitutes the first or subsequent violation of the policy and whether the incident is classified as SHIN-NY and Healthix policy violation or rises to the level of HIPAA breach.

Note: if the investigation of a user's access results in actual Breach of Patient Protected Health Information the user will be permanently deactivated, and the event will be subject to reporting to state and federal agencies.



Sanctions for Inappropriate Access – Progressive Corrective Action

Violation	Sanction
Violations that rise to the level of Breach will result in permanent deactivation of the user upon initial incident. NYS Dept. of Health requires all violations concerning SHIN-NY or Healthix data be reported to the department.	<p>1st violation: temporary suspension of user and re-education.</p> <p>2nd violation: temporary suspension, written warning & re-education.</p> <p>3rd violation: permanent suspension of user from accessing Healthix and SHIN-NY.</p>

Note: if the investigation of a user's access results in actual Breach of Patient Protected Health Information the user will be permanently deactivated, and the event will be subject to reporting to state and federal agencies.



Now it's time to complete the attestation. You are stating that you understand your role and responsibilities. This will trigger a congratulatory email (within one hour) letting you know you can access Healthix data. If you are a new portal user, the email will also contain your user credentials and instructions for first login.

See you in a year for annual refresher training.

Thank you.





Healthix

EXCHANGING INFORMATION
TO TRANSFORM PATIENT CARE

Thank You!
Your Training is Complete.

Any Questions:
compliance@healthix.org