

Criteria for OMH, OASAS, and HCBS Designated Behavioral Health Organizations with Medicaid Practitioners

The New York State Department of Health, with support from the Centers for Medicare & Medicaid Services, has established the Data Exchange Incentive Program (DEIP) to increase health information exchange (HIE) adoption across the state by building electronic health record (EHR) interfaces to New York State’s HIE, the Statewide Health Information Network for New York (SHIN-NY). The SHIN-NY connects eight regional networks, or Qualified Entities (QEs). This program is designed to help offset the cost for organizations connecting to a QE.

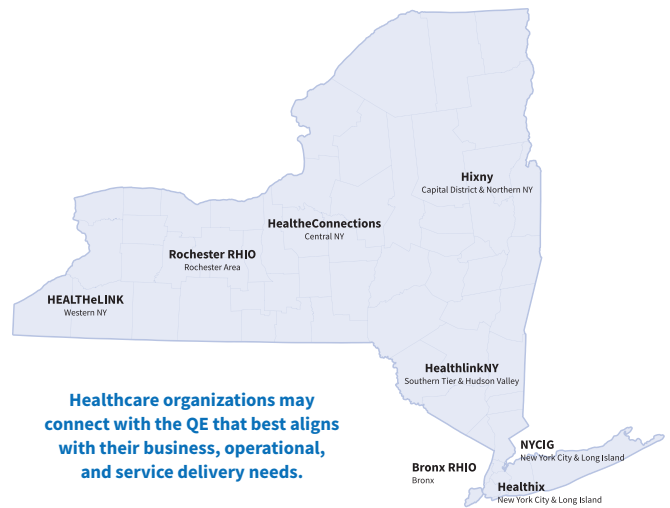
Eligibility Criteria

An organization must:

- Utilize an EHR that has obtained **at least one** of the following Privacy & Security Assurances (A, B, or C):
 - A. **ONC Certification*** for, at a minimum, the following Privacy & Security criteria:
 - (d.1) Authentication, Access Control, and Authorization
 - (d.2) Auditable Events
 - (d.3) Audit Report(s)
 - (d.4) Amendments
 - (d.5) Automatic Log-off
 - (d.6) Emergency Access
 - (d.7) End-User Device Encryption
 - (d.8) Integrity
 - B. Current SOC 2, Type II audit with no material findings**
 - C. Current, validated HITRUST assessment or NIST cybersecurity framework assessment**
- Be OMH licensed, an OASAS provider/facility, or a designated HCBS provider
- Have at least one provider that accepts Medicaid (Fee-for-Service, Medicaid Managed Care, and/or HARP)

- Be able to send information electronically to a QE in either CCD or C-CDA format
- **NOT** already be connected to a QE and contributing data
- **NOT** have received payment from any source for similar HIE activities

New York State’s Qualified Entities



*If the EHR vendors meets requirement 'A', they must have and maintain a Certification Status of 'Active' from an ONC Authorized Testing & Certification Body (ONC-ATCB). EHR vendor may certify against additional Privacy & Security criteria as desired. Certification may be against the 2014 or 2015 Edition of ONC Certification. Additionally, the ONC-ATCB may also require (g.4) Quality Management System and/or (g.5) Accessibility-Centered Design.

**If the EHR vendor meets requirement 'B' or 'C', they must also provide NYeC with an attestation that demonstrates their product’s ability to meet the requirements 45 CFR 170.314(d)(1) through 170.314(d)(8) which represent the EHR features, functions, and behaviors related to privacy and security. SOC 2, Type II audit will only be acceptable through September 30, 2019, at which time the vendor must be certified or assessed and compliant with ONC Privacy & Certification criteria, HITRUST, or NIST.



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Conditions of Participation

Organizations participating in DEIP are incentivized to contribute specific data elements.

| | |
|--|---|
| Sign a QE Participation Agreement with the QE on or after 10/1/16 | <p>Contribute five specified core data elements (plus three additional specified data elements, where applicable) to the QE after 10/1/16.</p> <p>Data Elements Include</p> <p>Core Elements Encounters, Demographics, Procedures (“services”), Individualized Service Plans*, Diagnoses</p> <p>Additional Elements Medications, Labs, Allergies</p> <p>NOTE: A statewide standard for data contribution to the SHIN-NY has been set as the Common Meaningful Use Dataset; if BH providers can submit a Summary of Care with this data, as the data is available and appropriate, that would be valuable to the exchange of information.</p> |
| Must be able to electronically receive a Summary of Care Record in a C-CDA format (via QE web portal, DIRECT secure messaging, or EHR interface) | |
| Attests to continue data exchange for one year. Failure to continue data exchange for one year could result in a claw back penalty. | |

*An individual service plan may be called a treatment plan (e.g. clinic), individual rehabilitation plan (e.g. PROS), service plan (e.g. ACT), or other name by a program.

Milestone Payments

NYeC is coordinating the rollout of the program and is administering the incentive payments on behalf of the New York State Department of Health. Limited funding is available and this program is operated on a first-come, first-served basis. **All milestones must be completed by September 30, 2018 to receive funding.**

| Milestones | Required Documentation | Measurement | Payment |
|----------------------------------|--------------------------------|--|-------------------------------------|
| Milestone 1 Enrollment | Milestone 1 Attestation | Organization attests they have signed a QE participation agreement on or after 10/1/16 | \$2,000 |
| Milestone 2 Go Live | Milestone 2 Attestation | Organization attests they are able to receive a Summary of Care Record electronically AND a connection is established to the QE and they are contributing all required data elements. | \$11,000 (per connection) |